# Zhichao Lu

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#### Вю

Zhichao Lu is currently a post-doctoral research associate at SUSTech. He received Ph.D degree in ECE from MSU in 2020, under the supervision of Prof. Kalyanmoy Deb, where he studied bilevel optimization, multi-objective optimization, and neural architecture search. His current research focus is on developing efficient and automated ML/DL algorithms and systems, with the overarching goal of making AI accessible to everyone.

#### EDUCATION

# Michigan State University, East Lansing, Michigan, USA

Ph.D in Electrical and Computer Engineering

Sep 2014 - Aug 2020

• Advisor: Prof. Kalyanmoy Deb

# Michigan State University, East Lansing, Michigan, USA

B.S in Electrical and Computer Engineering

 $\bullet$  Graduated with honor; GPA: 3.86 / 4.0

Sep 2009 - Dec 2013

#### Work Experience

Post-doc Research Associate, Southern University of Science and Technology, China Oct 2020 – Now

• Evolutionary machine learning, notably machine learning assisted evolutionary algorithms, automated machine learning, and in particular evolutionary neural architecture search.

Research Intern, Siemens PLM Software, E. Lansing, MI, USA

May 2018 – Aug 2018

• Large-scale combinatorial optimization algorithm research, with a particular application to the capacitor placement problem on a circuit board. Mentor: Ranny Sidhu

### SELECTED PUBLICATIONS

- 1. "Neural Architecture Transfer"

  <u>Zhichao Lu</u>, G. Sreekumar, E. Goodman, W. Banzhaf, K. Deb, and V. N. Boddeti *IEEE Transactions on Pattern Analysis and Machine Intelligence (TPAMI)*, 2021.
- "Multi-Objective Evolutionary Design of Deep Convolutional Neural Networks for Image Classification" <u>Zhichao Lu</u>, I. Whalen, Y. Dhebar, K. Deb, E. Goodman, W. Banzhaf and V. N. Boddeti *IEEE Transactions on Evolutionary Computation (TEVC)*, 2021.
- 3. "NSGA-Net: Neural Architecture Search using Multi-Objective Genetic Algorithm" Zhichao Lu, I. Whalen, V. N. Boddeti, Y. Dhebar, K. Deb, E. Goodman, and W. Banzhaf Genetic and Evolutionary Computation Conference (GECCO), 2019.

Best Paper Award (Evolutionary machine learning track).

- "NSGANetV2: Evolutionary Multi-Objective Surrogate-Assisted Neural Architecture Search" <u>Zhichao Lu</u>, K. Deb, E. Goodman, W. Banzhaf, and V. N. Boddeti <u>European Conference on Computer Vision (ECCV)</u>, 2020. Oral presentation (Top 2% of 5,000+ submissions).
- "MUXConv: Information Multiplexing in Convolutional Neural Networks"
   <u>Zhichao Lu</u>, K. Deb, and V. N. Boddeti
   IEEE/CVF Conference on Computer Vision and Pattern Recognition (CVPR), 2020.

# RESEARCH GRANTS

# National Natural Science Foundation of China (Youth Program), PI

2022 - 2023

 "Surrogate-Assisted Evolutionary Multi-Objective Deep Neural Architecture Search" Award amount: CNY 160,000

# Shenzhen Science and Technology Program (Postdoctoral Start-up), PI

2022 - 2023

 "Computational Intelligence based Multi-Objective Deep Neural Architecture Search" Award amount: CNY 300,000

# China Postdoctoral Science Foundation (General Program), PI

2021 - 2022

 "Research and Applications of Evolutionary Multi-Objective Deep Neural Architecture Search" Award amount: CNY 80,000

# Huawei Technologies Co., Ltd, Co-PI

2020 - 2021

• "Evolutionary Computation Based Deep Neural Architecture Search for Microchips" Award amount: CNY 1,280,000. Co-authored with Ran Cheng (PI) and Cheng He (Co-PI).

# OTHER PUBLICATIONS (\*EQUAL CONTRIBUTION, #CORRESPONDING AUTHOR, +UNDER MY SUPERVISION)

#### Manuscripts in Submission

 "TFormer: A Transmission-Friendly ViT Model for IoT Devices" <u>Zhichao Lu</u>, C. Ding, F. Xu, V. N. Boddeti, S. Wang, and Y. Yang *IEEE Transactions on Parallel and Distributed Systems (TPDS)*, 2022.

- "LoNAS: Low-Cost Neural Architecture Search Using a Three Stage Evolutionary Algorithm"
   Z. Zhu, W. Fang, S. Zhu, J. Sun, X. Wu and <u>Zhichao Lu</u>
   IEEE Computational Intelligence Magazine (CIM), 2022.
- "Resource-Friendly Feature Extraction for IoT Devices"
   Ding, Y. Li, <u>Zhichao Lu</u>, S. Wang, and S. Guo *IEEE Transactions on Mobile Computing (TMC)*, 2022.
- "NLMB: A Non-Learnable and Multi-Branching Module for Multi-Task Learning"
   C. Ding, <u>Zhichao Lu</u>#, S. Wang, R. Cheng, and V. N. Boddeti
   IEEE Transactions on Pattern Analysis and Machine Intelligence (TPAMI), 2022.
- 5. "MoSegNAS: Surrogate-assisted Multi-objective Neural Architecture Search for Real-time Semantic Segmentation" Zhichao Lu, R. Cheng, S. Huang<sup>+</sup>, H. Zhang<sup>+</sup>, C. Qiu and F. Yang *IEEE Transactions on Artificial Intelligence (TAI)*, 2022.
- 6. "Automated Design of Feature Pyramid Networks towards Real-time Semantic Segmentation" <u>Zhichao Lu</u>\*, S. Huang\*+, R. Cheng, K. C. Tan, C. Qiu, and F. Yang *European Conference on Computer Vision (ECCV)*, 2022.

### Journal Publications

- "Towards Transmission-friendly and Robust CNN Models over Cloud and Device"
   Ding, <u>Zhichao Lu</u>#, F. Xu, V. N. Boddeti, Y. Li, and J. Cao
   IEEE Transactions on Mobile Computing (TMC), 2022.
- 2. "Minimizing Expected Deviation in Upper-level Outcomes Due to Lower-level Decision-making Uncertainty in Hierarchical Problems"
  - K. Deb, Zhichao Lu, I. Kropp, J. Hernandez-Suarez, R. Hussein, S. Miller, and A. Nejadhashemi *IEEE Transactions on Evolutionary Computation (TEVC)*, 2022.
- 3. "A General Framework for Enhancing Relaxed Pareto Dominance Methods in Evolutionary Many-Objective Optimization"
  - S. Zhu, L. Xu, E. Goodman, K. Deb and <u>Zhichao Lu</u> Natural Computing, 2022.
- 4. "Accelerating Multi-Objective Neural Architecture Search by Random-Weight Evaluation" S. Hu<sup>+</sup>, R. Cheng, C. He, <u>Zhichao Lu</u>, J. Wang, M. Zhang Complex & Intelligent Systems, 2021.
- "A New Many-Objective Evolutionary Algorithm based on Generalized Pareto Dominance"
   Zhu, L. Xu, E. Goodman and <u>Zhichao Lu</u> IEEE Transactions on Cybernetics (TCYB), 2021.

- "Bilevel Optimization based on Iterative Approximation of Multiple Mappings"
   A. Sinha, <u>Zhichao Lu</u>, K. Deb, and P. Malo *Journal of Heuristics*, 2020.
- "Uncertainty Handling in Bilevel Optimization for Robust and Reliable Solutions"
   <u>Zhichao Lu</u>, K. Deb, and A. Sinha
   International Journal of Uncertainty, Fuzziness and Knowledge-Based Systems, 2018.

#### Conference Publications

- "VLMixer: Unpaired Vision-Language Pre-training via Cross-Modal CutMix" T. Wang, W. Jiang, <u>Zhichao Lu</u>, F. Zheng, R. Cheng, C. Yin, and P. Luo International Conference on Machine Learning (ICML), 2022.
- "FaPN: Feature-aligned Pyramid Network for Dense Image Prediction"
   Huang<sup>+</sup>, Zhichao Lu, R. Cheng, and C. He
   IEEE/CVF International Conference on Computer Vision (ICCV), 2021.
- "End-to-End Dense Video Captioning with Parallel Decoding"
   T. Wang<sup>+</sup>, R. Zhang, <u>Zhichao Lu</u>, F. Zheng, R. Cheng, and P. Luo IEEE/CVF International Conference on Computer Vision (ICCV), 2021.
- "Multi-objective Neural Architecture Search with Almost No Training"
   Hu<sup>+</sup>, R. Cheng, C. He, and <u>Zhichao Lu</u> Evolutionary Multi-Criterion Optimization (EMO), 2021.
- "The (M-1)+1 framework of relaxed Pareto dominance for evolutionary many-objective optimization"
   Zhu, L. Xu, E. Goodman, K. Deb, and <u>Zhichao Lu</u> Evolutionary Multi-Criterion Optimization (EMO), 2021.
- 6. "NSGA-Net: Neural Architecture Search using Multi-Objective Genetic Algorithm (Extended Abstract)" <u>Zhichao Lu</u>, I. Whalen, V. N. Boddeti, Y. Dhebar, K. Deb, E. Goodman, and W. Banzhaf <u>International Joint Conference on Artificial Intelligence (IJCAI)</u>, 2020. (Invited Paper)
- 7. "Balancing Survival of Feasible and Infeasible Solutions in Constraint Evolutionary Optimization Algorithms" Zhichao Lu, K. Deb, and H. Singh IEEE Congress on Evolutionary Computation (CEC), 2018.
- 8. "Solving a Supply-chain Management Problem using a Bilevel Approach" Zhichao Lu, K. Deb, E. Goodman, and J. Wassick Genetic and Evolutionary Computation Conference (GECCO), 2017.
- 9. "Handling Practicalities in Agricultural Policy Optimization for Water Quality Improvements" B. Barnhart, Zhichao Lu, M. Bostian, A. Sinha, K. Deb, L. Kurkalova, M. Jha, and G. Whittaker Genetic and Evolutionary Computation Conference (GECCO), 2017.
- "Finding Reliable Solutions in Bilevel Optimization Problems under Uncertainties" <u>Zhichao Lu</u>, K. Deb, and A. Sinha *Genetic and Evolutionary Computation Conference (GECCO)*, 2016.

Best Paper Nomination (Real-world application track).

- 11. "Handling Decision Variable Uncertainty in Bilevel Optimization Problems" <u>Zhichao Lu</u>, K. Deb, and A. Sinha *IEEE Congress on Evolutionary Computation (CEC)*, 2015.
- "Towards Optimal Ship Design and Valuable Knowledge Discovery under Uncertain Conditions"
   K. Deb, <u>Zhichao Lu</u>, C. B. McKesson, C. C. Trumbach, and L. DeCan IEEE Congress on Evolutionary Computation (CEC), 2015.

#### BOOK CHAPTERS

1. K. Deb, A. Sinha, P. Malo, <u>Zhichao Lu</u>, Approximate Bilevel Optimization with Population-Based Evolutionary Algorithms. In: Dempe S., Zemkoho A. (eds) Bilevel Optimization. Springer Optimization and Its Applications, vol 161. Springer, Cham, 2020. (ISBN 978-3-030-52118-9)

# Honors and Awards

| MICCAI Glaucoma grAding from Multi-Modality imAge (GAMMA) Challenge Excellent Award | 2021 |
|---|------|
| CVPR ActivityNet Event Dense-Captioning Challenge Runner Up                         | 2021 |
| SUSTech Presidential Outstanding Postdoctoral Award                                 | 2021 |
| MSU College of Engineering GOF Fellowship   | 2020 |
| GECCO Best Paper Award (Evolutionary Machine Learning Track)                        | 2019 |
| MSU Graduate School Dissertation Completion Fellowship                              | 2019 |
| GECCO Best Paper Award Nomination (Real-world Application Track)                    | 2016 |
| MSU Engineering Distinguished Fellowship  | 2014 |
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#### INVITED TALKS

# South China University of Technology

 $\mathrm{Dec}\ 2021$ 

• "A Preliminary Exploration of Evolutionary Deep Learning Paradigm" Host: Han Huang

#### Hong Kong University of Science and Technology

July 2021

• "Towards Automated and Efficient Machine Learning Systems – An Evolutionary Multi-Objective Approach" Host: Jiguang Wang

# Nature Inspired Computing and Engineering (NICE) Group Seminar

June 2021

• "Evolutionary Multi-Objective Neural Architecture Search" Host: Handing Wang

#### ECCV main conference, virtual.

Aug 2020

• "NSGANetV2: Evolutionary Multi-Objective Surrogate-Assisted Neural Architecture Search"

### CVPR NAS Workshop, virtual.

June 2020

• "Neural Architecture Transfer"

#### CVPR NAS Workshop, virtual.

June 2020

• "MUXConv: Information Multiplexing in Convolutional Neural Networks"

#### MSU CSE Multi-Group Seminar, East Lansing, USA

Jan 2020

• "Evolutionary Multi-Objective Neural Architecture Search"

#### Professional Activities

#### Conference Organizers:

- EMO 2021: Online Platform Co-Chair, International Conference Series on Evolutionary Multi-Criterion Optimization, Shenzhen, China.
- EMO 2019: Local Organizing Committee, International Conference Series on Evolutionary Multi-Criterion Optimization, East Lansing, MI, USA.

#### Conference Program Committee/Reviewer:

- IEEE Conference on Computer Vision and Pattern Recognition (CVPR)
- Genetic and Evolutionary Computation Conference (GECCO)
- IEEE Congress on Evolutionary Computation (CEC)
- International Conference Series on Evolutionary Multi-Criterion Optimization (EMO)

#### Journal Reviewer:

- IEEE Transactions on Pattern Analysis and Machine Intelligence (TPAMI)
- IEEE Transactions on Evolutionary Computation (TEVC)
- IEEE Transactions on Medical Imaging (TMI)
- IEEE Transactions on Multimedia (TMM)
- IEEE Transactions on Circuits and Systems for Video Technology (TCSVT)
- IEEE Transactions on Artificial Intelligence (TAI)
- IEEE Computational Intelligence Magazine (CIM)

Graduate Teaching Assistant, Michigan State University

• ECE 202: Circuits and Systems II

Fall 2015

#### MENTORSHIP AND ADVISING

Mentees who co-authored above listed publications are indicated with \*

- 1. Shihua Huang\* (SUSTech)
  - Joining MSU CSE as a Ph.D Student in 2022 Fall.
- 2. Shengran Hu\* (SUSTech)
  - Joining UBC CSE as a Ph.D Student in 2022 Fall.
- 3. Teng Wang\* (HKU)
- 4. Gautam Sreekumar\* (MSU)
- 5. Haoming Zhang\* (SUSTech)
- 6. Rui Zhang (SUSTech), now at Huawei
- 7. Hu Zhang (SUSTech), now at Huawei

Research Assistant, 2020-2022

Research Assistant, 2020-2022

Ph.D student, 2020-current
Ph.D student, 2019-2020
MS student, 2020-current
MS student, 2020-current
MS student, 2020-current

#### References

#### Dr. Kalyanmoy Deb

Koenig Endowed Chair Professor Dept. of Electrical and Computer Engineering Michigan State University East Lansing, MI 48824 (517)-432-2144 kdeb@msu.edu

# Dr. Vishnu N. Boddeti

Assistant Professor Dept. of Computer Science and Engineering Michigan State University East Lansing, MI 48824 (517)-432-0609 vishnu@msu.edu

# Dr. Erik Goodman

Director of the BEACON Center Dept. of Electrical and Computer Engineering Michigan State University East Lansing, MI 48824 (517)-355-6453 goodman@msu.edu

# Dr. Wolfgang Banzhaf

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